

Review Article

Do Asian Patients Require Only Half of the Clozapine Dose Prescribed for Caucasians? A Critical Overview

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ABSTRACT

Since 1997, studies have found that Asians need lower clozapine doses than Caucasians. Caucasians with average clozapine metabolism may need from 300 to 600 mg/day to reach the therapeutic range (350 ng/ml). Thus, serum clozapine concentration-to-dose (C/D) ratios typically range between 0.60 (male smokers) and 1.20 (female non-smokers). A 2019 systematic review of clozapine levels demonstrated weighted mean C/D ratios of 1.57 in 876 East Asians and 1.07 in 1147 Caucasians ($P < .001$). In Asian countries, average clozapine doses are lower than 300 mg/day. After sex and smoking stratification in 5 Asian samples with clozapine concentrations, the clozapine dose required to reach 350 ng/ml in female non-smokers ranged from 145 to 189 mg/day and in male smokers, from 259 to 294 mg/day. Thus, in Asian patients with

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How to cite this article: de Leon J, Rajkumar AP, Kaithi AR, Schoretsanitis G, Kane JM, Wang CY, *et al.* Do asian patients require only half of the clozapine dose prescribed for caucasians? A critical overview. *Indian J Psychol Med* 2020;42:4-10.

Access this article online	
Website: www.ijpm.info	Quick Response Code 
DOI: 10.4103/IJPSYM.IJPSYM_379_19	

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Received: 08th September, 2019, **Revision:** 24th September, 2019, **Accepted:** 07th October, 2019, **Publication:** 06th January, 2020.

average metabolism (with no inducers other than smoking, with no inhibitors, and in the absence of extreme obesity), the dose needed for clinical response may range between 150 mg/day for female non-smokers to 300 mg/day for male smokers. Clozapine levels may help personalize dosing in clozapine poor metabolizers (PMs) and ultrarapid metabolizers (UMs). Asian PMs may need very low doses (50-150 mg/day) to obtain therapeutic concentrations. About 10% (range 2-13%) of Asians are genetic PM cases. Other PMs are patients taking CYP1A2 inhibitors such as fluvoxamine, oral contraceptives, and valproate. Temporary clozapine PM status may occur during severe systemic infections/inflammations with fever and C-reactive protein (CRP) elevations. Asian UMs include patients taking potent inducers such as phenytoin, and rarely, valproate.

Key words: Asian continental ancestry group/genetics, blood, CYP1A2, clozapine, drug labeling, India, pharmacokinetics, sex, smoking

This review article proposes that the clozapine package insert (or drug labeling) and psychiatric literature should inform physicians that Asian patients with average clozapine metabolism are likely to need between 150 and 300 mg/day of clozapine to reach therapeutic concentrations, in contrast with Caucasian patients with average clozapine metabolism who need 300 to 600 mg/day.

WHAT IS THE EVIDENCE?

A reader familiar with United States psychiatric textbooks^[1] or review articles^[2] or British textbooks^[3] or guidelines^[4] may find the title of this article surprising. A British textbook^[3] states, “The range [for clozapine dosing] is approximately 250 mg/day (female non-smoker) to 550 mg/day (male smoker)” and makes no reference to Asian patients.

Average response in randomized clinical trials (RCTs) is used by pharmaceutical companies to provide recommended average doses, but this approach is misguided when statistical heterogeneity is present and when the mean does not represent the heterogeneous sample well.^[5] As a matter of fact, the question asked in a Cochrane review, “What is the optimal dose for clozapine in schizophrenia?”^[6] is an irrelevant question because there is no average clozapine dose that is “best”. The right dose depends on the clozapine clearance of the individual patient, which is mainly mediated by CYP1A2 and is significantly influenced by ethnicity, smoking status and sex, all of which have major influence on CYP1A2 activity.^[7] A better question therefore is to ask what dose is optimal for specific sub-groups: 1) Asian non-smoker females, 2) Asian non-smoker males, 3) Asian smoker females, 4) Asian smoker males, 5) non-Asian non-smoker females, 6) non-Asian non-smoker males, 7) non-Asian smoker females, and 8) non-Asian smoker males.^[8]

These questions are at the heart of clinical practice but were not asked when clozapine was introduced in the

market. Traditionally, drug studies for approval focused on Caucasian subjects, predominantly male, but this state of affairs is no longer satisfactory. The current need is to understand how different racial and ethnic ancestry can lead to differences in efficacy and safety in the use of various drugs.

WHO ARE ASIANS?

According to the Food and Drug Administration (FDA), the Asian phenotype includes people whose ancestral origins range geographically from Pakistan to Japan.^[9] Within that group is a more homogeneous genetic group called East Asians, who comprise of Chinese, Korean, Japanese, and Mongolian people. This classification is largely driven by the history of genetic evolution.^[10] This means that people from Western Asia^[11] are genetically different from other Asians and are closer to Caucasian Europeans;^[10] it also means that the original people from the Americas^[10] have actually descended from East Asians and are likely to be genetically close to East Asians. In this review, we report clozapine levels from Vellore, which probably reflects a population from Southern India, while the Northern India population probably includes a more complex mix.^[12]

THE EVIDENCE FROM CLOZAPINE BLOOD LEVELS

Although clozapine prescribers in Western countries are not aware that Asians need lower clozapine doses, this is not a new concept. In 1997, Chang *et al.*^[13] and Chong *et al.*^[14] showed that Chinese patients who used half the clozapine dosage had concentrations similar to Caucasians. Moreover, in 2005, Ng *et al.*^[15] found that 20 Singaporean Asians (from 3 ethnic groups: Chinese, Indian, and Malay) had higher clozapine concentration-to-dose (C/D) ratios than 20 Australian Caucasians.

The clozapine C/D ratio is a measure of clozapine drug clearance, which can be influenced by genetic, personal, and environmental factors.^[16] The clozapine C/D ratio can be used to distinguish patients based on clozapine metabolism. Thus, patients with a very low clozapine C/D ratio belong to an ultrarapid metabolizer (UM) phenotype, while those with a very high C/D ratio belong to a poor metabolizer (PM) phenotype. In 2015, a review^[16] proposed that in US schizophrenia patients, Caucasians with average clozapine metabolism usually need 300-600 mg/day to reach the lowest part of the therapeutic range (350 ng/ml). US male smokers usually reach a therapeutic concentration of ≥ 350 ng/ml with a dosage of 600 mg/day; this corresponds to a C/D ratio of 0.58 (350/600). US female non-smokers usually reach a concentration of ≥ 350 ng/ml with a dosage of only 300 mg/day. This corresponds to a C/D ratio of 1.17 (350/300). Therefore in the US, clozapine C/D ratios typically range between approximately 0.60 and 1.20.^[16]

Based on the limited published data on Chinese patients,^[13-15] and the fact that clozapine follows linear kinetics, the review^[16] also proposed that East Asians may have clozapine C/D ratios that are twice as high, ranging from 1.20 to 2.40, which means that they need only half the clozapine dosage of US Caucasians.^[16] In 2019, a systematic review of clozapine levels supported that conclusion,^[8] since the clozapine C/D ratio was higher when comparing weighted mean values of 1.57 in 876 East Asians and 1.07 in 1147 Caucasians ($P < 0.001$). Interestingly, a Mexican study^[17] which provided no information on patient ethnicity described clozapine C/D ratios similar to East Asians.

THE EVIDENCE FROM CLOZAPINE DOSING IN ASIAN COUNTRIES

In 1998, Farooq^[18] reported his clinical observation that Pakistani psychiatrists also used lower doses similar to those used by Chinese psychiatrists, and proposed that Pakistanis also have lower clozapine clearance than Caucasians, but similar to Chinese. However, these comments on the need for low clozapine doses in Chinese and Pakistani patients were largely ignored in Western countries.

Clozapine is widely used in China. In 2012, Wang and Li^[19] stated that the mean dose reported in Chinese studies was 216 mg/day, which was much lower than the 431 mg/day reported in the non-Chinese literature. A dosing study with $>3,000$ samples from the Japanese clozapine database described a mean dose of 186 mg/day.^[20] In a survey of 117 Indian psychiatrists, Shrivastava and Shah^[21] indicated that almost all (86%)

of their patients were stabilized on clozapine doses lower than 300 mg/day. A recent Asian review described clozapine daily dosing in single samples from several different countries. In countries with no published blood levels, the sample average doses (in mg/day) were 368 in Sri Lanka, 364 in Malaysia, 245 in Thailand, 193 in Myanmar, 182 in Vietnam, 158 in Pakistan, 142 in Bangladesh and 58 in Indonesia.^[22]

DOSING RECOMMENDATION FOR ASIANS IN THE ABSENCE OF BLOOD LEVELS

If the psychiatrist has access to blood levels, the best way to personalize clozapine dosing^[23] is to use a dose that provides a trough steady-state clozapine concentration of at least 350 ng/ml.^[24] Alternatively, the data from the five Asian samples^[25] after sex and smoking stratification can be used to orient Asian clinicians who have no access to an assessment of blood levels. The five samples were from Beijing,^[8,26] Taipei,^[27] Seoul,^[28] and Vellore.^[29] In these 5 Asian samples, the clozapine dose required to reach at least 350 ng/ml in female non-smokers ranged from 145 to 189 mg/day and in male smokers, from 259 to 294 mg/day.

These clozapine dosing guidelines are based on patients with average metabolism who are not using inducers (other than smoking) or inhibitors and do not have extreme obesity. The dose needed for clinical response in Asian patients with average clozapine metabolism ranges between 150 mg/day for female non-smokers and 300 mg/day for male smokers. After reaching these doses, when a psychiatrist is faced with the need to ascertain whether the patient is not going to respond to clozapine, they may want to reach at least 200 mg/day in an Asian female non-smoker before declaring her to be non-responsive; likewise, an Asian male smoker will need at least 350 mg/day. Asian female smokers and Asian non-smoking males will need intermediate doses.

THE IMPORTANCE OF USING CLOZAPINE BLOOD LEVELS IN ASIANS

This review has so far focused on Asian non-smoking females or Asian smoking males with average metabolism, but not all patients are average for clozapine metabolism. Clozapine PMs and UMs exist, and they can be genetic or non-genetic PMs or UMs.

In the 5 Asian samples,^[25] approximately 10% (range 2-13%) of possible genetic clozapine PMs needed very low clozapine doses of approximately 50-125 mg/day to reach 350 ng/ml. In Vellore, the PM percentage appeared

to be 2%. Moreover, phenoconversion by environmental and personal variables can make a normal clozapine metabolizer appear to be a phenotypical clozapine PM. Fluvoxamine is an extremely powerful inhibitor of clozapine metabolism that makes most patients resemble clozapine PMs,^[30] and should never be co-prescribed with clozapine in the absence of access to blood levels. Other powerful inhibitors of clozapine metabolism that are likely to make a patient a clozapine PM are: ciprofloxacin, oral contraceptives, and high doses of caffeine. Phenothiazines, tricyclic antidepressants, and high doses of sertraline can also phenoconvert patients to clozapine PM.^[30] Valproic acid in some patients may also inhibit clozapine metabolism.^[29,31]

More importantly, using the clozapine C/D ratio in the Vellore sample, we estimated that a clozapine PM male smoker who was taking valproic acid would only need 105 mg/day to get therapeutic concentrations.^[25]

Clozapine deposits in fat tissue,^[32] and this decreases clozapine clearance. After combining four Asian samples with measures of weights, we found that 1.1% (5/429) of patients appear to be phenotypic clozapine PMs due to extreme obesity.^[25]

The most common cause of clozapine phenotypic PM status may be a severe infection or severe inflammation with systemic manifestations that include fever and/or elevations of C-reactive protein (CRP). The inflammation releases cytokines that inhibit CYP1A2 and other CYPs, thereby increasing clozapine levels.^[33] Most clinicians are not aware that pneumonia can be lethal in clozapine patients because it can lead to clozapine intoxication.^[34] Halving the clozapine dose when pneumonia is diagnosed, or when any serious inflammation/infection with fever and/or CRP elevation occurs, may avoid the development of clozapine intoxication.^[33,34] The complexities involved in diagnosing fever in clozapine patients are reviewed in a recent article.^[35]

Patients taking a potent inducer such as rifampicin or one of the three potent antiepileptic inducers, phenytoin or phenobarbital, can become clozapine UMs and require much higher clozapine doses.^[30] Valproic acid, instead of being an inhibitor of clozapine metabolism, can be an inducer in some patients. Studies suggest that when valproic acid acts as an inducer, it mainly induces norclozapine metabolism^[31,36-38] but can sometimes contribute to the patient becoming a UM who needs very high clozapine doses.^[39] Norclozapine is clozapine's main metabolite and has no antipsychotic efficacy but may contribute to adverse drug reactions.^[40] In summary, in some clozapine patients, valproic acid can act as an inhibitor of clozapine metabolism and in others, as an inducer, particularly of norclozapine

metabolism.^[41] During early titrations, it is important to consider the risk of inhibition.

Mild CYP1A2 inducers are omeprazole and intake of cruciferous vegetables such as broccoli. These latter compounds and the polycyclic aromatic hydrocarbons (PAH) found in the smoke of tobacco bind to the aryl hydrocarbon receptor (AhR), inducing CYP1A2 expression.^[42] The same PAH in barbecued food can act as it does in tobacco smoke, but one would have to consume great quantities of barbecued food to gain the same effect as daily smoking. More importantly, in people from India or Sri Lanka,^[43] high coffee intake has been associated with induction of CYP1A2 expression, possibly because of the way the coffee beans are roasted. Using the clozapine C/D ratio in the Vellore sample, we estimated that a particular clozapine UM would need 1029 mg/day of clozapine to reach a clozapine level of 350 ng/ml. She was a non-smoking female who reported consuming 10 cups of coffee/day, much higher than other patients. Assuming that her single clozapine level was not contaminated by lack of adherence, she appeared to be a clozapine UM explained by the high induction produced by the roasting of coffee beans.^[25]

In conclusion, the best way of personalizing dosing for clozapine PMs and UMs, whether genetic or non-genetic by source, is to measure clozapine blood levels.^[23]

THE NEED FOR STARTING WITH LOWER DOSES AND SLOWER TITRATION IN ASIAN PATIENTS

Asian patients need half the dose to which Caucasian patients are usually up-titrated. Therefore to prevent myocarditis, in Asians, it may be desirable to start with 12.5 mg/day and, if tolerated, to reach 50 mg/day at day 7, 100 mg/day at day 14, and 150 mg/day at day 21. Then, after reaching a steady-state (five days later), a trough clozapine level could be obtained to personalize dosing. It is preferable to require a normal CRP level for starting clozapine, or else systemic inflammation-related reduction in clozapine metabolism may compromise the safety of the titration.^[23,44] Weekly CRP can be measured with the white blood count (WBC). If the CRP is elevated, clozapine should be stopped until the CRP normalizes because this may be an initial sign of clozapine-induced inflammation that can progress to myocarditis.^[23,44] Frequently, clozapine up-titration is conducted in the background of a prior antipsychotic, and so delaying clozapine titration until CRP normalizes is safe. If the patient is not already taking another antipsychotic, an additional antipsychotic can

be given until it is determined that the patient can tolerate a slower clozapine up-titration.

Two articles have independently proposed that clozapine-induced myocarditis is a hypersensitive reaction similar to lamotrigine-induced Stevens-Johnson syndrome that is produced by rapid up-titration.^[45,46] Normal titration may lead to myocarditis in clozapine PMs, such as those taking valproic acid.^[47] If the clozapine up-titration is too fast for a specific patient, a clozapine-induced inflammation will develop, manifested as CRP elevation. This will further reduce clozapine metabolism and predispose to myocarditis. The high incidence of clozapine-induced myocarditis in Australia may be partly explained by the use of Caucasian-level titration in patients of Asian ancestry, considering the increase in Asian migration to Australia in the last ten years.^[8]

INTERPRETING CLOZAPINE BLOOD LEVELS

Clinicians frequently fail to understand that a single clozapine level must be viewed with caution and that a pattern change across several levels is easier to interpret. Laboratory, technical, and natural variations can cause some day-to-day variations in clozapine levels, even after assuming the stability of all possible confounding factors such as trough (early morning before medication intake) and steady-state levels (≥ 5 days with no clozapine dose change), drug interactions, smoking, and caffeine intake.^[48] The most important changes in clozapine levels in outpatients are due to a lack of compliance.^[49] Based on an RCT in an inpatient setting with very strict control over compliance and many levels every other week for months,^[50] we have suggested that only a change by a factor of 2 is probably meaningful from the clinician's perspective. This means that if an individual has a clozapine level of 500 ng/ml, the next one under the same stable conditions should not be >1000 or <250 ng/ml. However, a change from 500 to 400 ng/ml is probably not significant.^[48]

CONCLUSION

This review article proposes that Asians, defined as people whose ancestral origins range geographically from Pakistan to Japan, and who comprise up to 50% of the world's population, may need half the clozapine dosage used in Western countries. Psychiatrists in India, and more widely, in Asia, need to be aware that the clozapine doses needed by Asian patients are half those needed by Caucasian patients.

Based on the evidence presented in this article, Asian psychiatrists should encourage their hospitals and

facilities, where possible, to developing laboratories that can allow obtaining clozapine levels to become routine practice. This would help personalize clozapine dosing. Asian pharmaceutical companies should consider developing clozapine formulations that allow lower doses, such as 12.5, 10, or even 5 mg. These low doses are far more appropriate for starting clozapine in Asian patients. This article estimates dosing for Asians based on linear kinetics and the estimation that the lower therapeutic range is 350 ng/ml, but this value is mainly based on studies in Caucasians and response-plasma levels in Asians are needed. Future studies in Asian patients need to establish whether or not this value (350 ng/ml) needs to be modified in Asians.

Acknowledgements

The authors acknowledge Lorraine Maw, M.A., at the Mental Health Research Center at Eastern State Hospital, Lexington, KY, who helped in editing this article.

Financial support and sponsorship

Nil.

Conflicts of interest

In the last 3 years, Drs. de Leon, Rajkumar, Kaithi, Schoretsanitis, Wang, Tang, Lin, Hong, Farooq, Ruan and Andrade have had no conflicts of interest. In the last 3 years, Dr. Kane reports personal fees from Alkermes, personal fees from Allergan, personal fees from Bristol-Myers Squibb, personal fees from IntraCellular Therapies, personal fees from Janssen, personal fees from Lundbeck, personal fees from Minerva, personal fees from Neurocrine, personal fees from Otsuka, personal fees from Pierre Fabre, personal fees from Reviva, personal fees from Sunovion, personal fees from Takeda, personal fees from Teva, other outside the submitted work from LB Pharma, MedAvante and The Vanguard Research Group. In the last 3 years, Dr. Ng reports being a consultant for Grunbiotics, Lundbeck, Servier, and Janssen-Cilag, and received research speaker honoraria from Servier, Janssen-Cilag and Pfizer.

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Title:

Do Asian Patients Require Only Half of the Clozapine Dose Prescribed for Caucasians? A Critical Overview

Date:

2020-01-01

Citation:

de Leon, J., Rajkumar, A. P., Kaithi, A. R., Schoretsantis, G., Kane, J. M., Wang, C. -Y., Tang, Y. -L., Lin, S. -K., Hong, K. S., Farooq, S., Ng, C. H., Ruan, C. -J. & Andrade, C. (2020). Do Asian Patients Require Only Half of the Clozapine Dose Prescribed for Caucasians? A Critical Overview. INDIAN JOURNAL OF PSYCHOLOGICAL MEDICINE, 42 (1), pp.4-10. https://doi.org/10.4103/IJPSYM.IJPSYM_379_19.

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